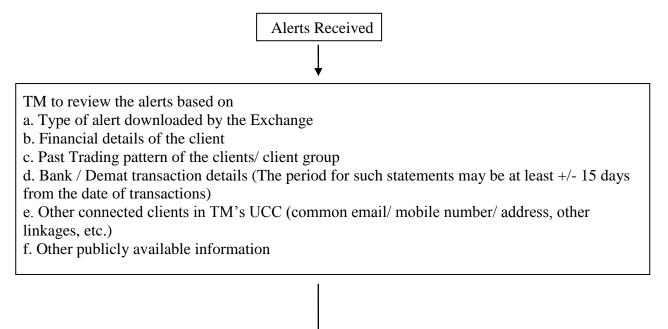
## ANNEXURE

## STEPS TO BE FOLLOWED IN RESPECT OF TRANSACTIONAL ALERTS



If any concern seen post inquiry/ receipt of explanation from client, TM to forward the alerts to the Exchange with his comments and any documents deemed relevant

**Note:** In case of any adverse findings / comments, the Trading Member shall send its comments to the Exchange within 45 days of the alert generation. The Trading Member may seek extension of the time period from the Exchange, wherever required.